

**SANTA CLARA COUNTY SUPERIOR COURT
BACKGROUND INFORMATION**

Instructions: DO NOT ALTER THIS FORM IN ANY WAY. DO NOT ATTACH ADDITIONAL PAGES.

Name	Phone	Fax
<i>Mark F. Katz</i>	<i>650-996-4792</i>	<i>650-522-8770</i>
Street or P.O. Box	City	Zip Code
<i>1840 Gateway Drive #200</i>	<i>Foster City, CA</i>	<i>94404</i>
TODAY'S DATE:	<i>markfkatz@sbcglobal.net</i>	
Check each panel for which you have been accepted:	<input checked="" type="checkbox"/> mediation	<input type="checkbox"/> neutral evaluation

1. Describe your education, including degrees and the dates received.

*Princeton University, B.A., 1968, International Affairs
University of Michigan Law School, J.D., 1971, Cum Laude*

2. Briefly describe the ADR training you have received. For each training, give the trainer's name, the dates attended, and the total hours.

*-Conflict Management Institute (Santa Barbara), October 1997, 30 hours
-International Bar Association (Paris, France), September 1996, 3 hours
-US District Court N. California Mediation Training (Santa Clara), November 2002, 15 hours.*

3. Describe the subject matter of five disputes for which you have been an ADR provider in the past five years, with the dates. Identify the process and state whether you were a sole- or co-provider.

- *Dispute regarding \$2 million steel supply contract – 6/18/99, sole mediator*
- *Landlord-tenant dispute arising from \$1.5 million of unpaid rent – 11/9/99, sole mediator*
- *Shareholder dispute arising from \$250,000 investment – 2/15/00, sole mediator*
- *Shareholder and trade secret dispute – 11/1/99, sole mediator*
- *Wrongful termination claim by employee – 9/1/99, sole mediator*

4. Check your areas of substantive expertise:

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Banking | <input type="checkbox"/> Health Care | <input type="checkbox"/> Personal Injury |
| <input checked="" type="checkbox"/> Business (Commercial - Contract) | <input type="checkbox"/> Housing | <input type="checkbox"/> Probate - Wills |
| <input type="checkbox"/> Civil Rights | <input checked="" type="checkbox"/> Intellectual Property | <input type="checkbox"/> Prof. Negl. (Non-Medical) |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Insurance | <input checked="" type="checkbox"/> Real Estate |
| <input type="checkbox"/> Defamation | <input checked="" type="checkbox"/> Labor - Employment | <input checked="" type="checkbox"/> Securities |
| <input type="checkbox"/> Disabilities | <input checked="" type="checkbox"/> Landlord/Tenant | <input type="checkbox"/> Tax |
| <input type="checkbox"/> Elder Issues/Abuse | <input type="checkbox"/> Medical Malpractice | <input checked="" type="checkbox"/> Other (specify): |
| <input type="checkbox"/> Environment | <input type="checkbox"/> Neighborhood | <i>International business; buying</i> |
| <input type="checkbox"/> Family Law | <input checked="" type="checkbox"/> Partnership | <i>& selling businesses; joint venture</i> |
| | | <i>& distribution arrangements</i> |

5. If you are an attorney:

A. How many years have you been in active practice? If none, please explain.

31 years, including 28 in private practice

B. What is or was the nature of your practice?

Corporate attorney involved in acquisition & other agreements, including international agreements

C. What percentage of your practice has been representing plaintiffs _____ defendants _____ ?

N/A

D. How many of the following have you completed in the past five years?:

Jury trials N/A Court trials N/A Judicial arbitrations N/A

6. Is your ADR style facilitative or evaluative/directive?

Evaluative/directive

7. Describe your fee schedule, including any sliding-scale or pro-bono provisions.

\$325.00/hour

8. Give any other information that should be considered by parties or counsel.

I have mediated over 75 cases and have helped parties and their attorneys resolve over 80% of these. I am fluent in French and am able to conduct mediations in French. References available upon request.